Treatment Alternatives and Diversion (TAD) Program and Treatment Courts

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Wisconsin Department of Justice
Overview

• Treatment courts in Wisconsin
• Overview of the Treatment Alternatives and Diversion (TAD) program
• 2017 TAD competitive process
• Data collection, evaluation, and performance measurement
• CORE Reporting System
Treatment Courts

- Promote recovery
- Require a team approach
- Employ a multi-phased treatment process
- Goals
  - End the cycle of recidivism
  - Successfully intervene in cases with addiction/mental illness
  - Increase public safety
- Encompass various types of courts

Not just drug courts
Treatment Courts in Wisconsin

• As of 2016, Wisconsin has 75 operational problem-solving courts:
  • 31 Adult Drug Courts
  • 14 OWI Courts
  • 9 Veterans Treatment Courts
  • 8 Hybrid OWI/Drug Courts
  • 5 Mental Health Courts
  • 4 Family Dependency Courts
  • 3 Tribal Healing to Wellness Courts
  • 1 Juvenile Drug Court
Treatment Alternatives and Diversion (TAD)

- What is TAD?

- Statute (WI Stat. 165.95)
  - Focus on treatment courts and diversion programs
  - Alternatives to incarceration
  - Focus on public safety and reducing costs, jail/prison population, and recidivism
  - Importance of evidence-based practices
  - Substance Use
  - Violent offender restriction
Treatment Alternatives and Diversion (TAD)

- # of current TAD programs

- Funding increase
  - ~ $1 million annually starting in 2007
  - Increase to ~$4 million annually in 2014
  - Increase to ~$6 million annually for 2017

- 5 year cycle
  - Competitive process
  - Programs are at different points
  - Annual review
Treatment Alternatives and Diversion (TAD)

• Treatment Courts and Pretrial Diversion Programs

• Include primary goals established in statute:
  ▫ *Reduce recidivism* rates for non-violent offenders in the program and increase public safety
  ▫ *Reduce prison and jail populations* by diverting nonviolent offenders to community-based interventions
  ▫ *Reduce crime and improve the operation of the CJ system* (diversion programs)
Treatment Alternatives and Diversion (TAD)

• Benefits
  ▫ Flexible
  ▫ Designed to meet local needs

• Specific activities expected
  ▫ Evidence-based practices
  ▫ Adherence to standards
  ▫ Risk/Needs assessments
  ▫ Appropriate treatment services
  ▫ Participant-level data collection and reporting
  ▫ Criminal Justice Coordinating Council
  ▫ Participation is connected to substance use
2014 TAD Participant Outcomes and Cost-Benefit Report

- TAD treatment courts had a completion rate of 56%, higher than the best national estimate of drug court graduation rates obtained through meta-analysis which is just below 50%.

- TAD treatment courts averted offenders from a total of 92,608 incarceration days (2007-2013)
  - An average of 282 incarceration days per graduate

TAD Completion Rate by Project Model (2007-2013)

- Treatment Courts: N=570, 56%
- Diversion Projects: N=2,257, 68%
- Overall: 66%

2014 TAD Participant Outcomes and Cost-Benefit Report

• TAD Cost-benefit analysis indicated:
  ▫ Every $1.00 invested in TAD yields $1.96 in benefits to the criminal justice system through reduced crime and averted incarceration
  ▫ “Net benefits” – benefits minus cost – demonstrated a net benefit per discharge of $2,912.

2014 TAD Participant Recidivism (2007-2013)

Any New Criminal Offense Within 1, 2, and 3 Years After TAD Discharge Which Resulted in Conviction

Treatment Courts in WI

- Recent events in WI treatment courts

- 2014: WI Tx Court Standards published
- 2015: WI Tx Court Trainings
- 2016: 2016 Performance Measures for Adult Drug/Hybrid Courts Published
- 2016: TAD Competitive Process & CORE Reporting System Launched
- 2017: Additional targeted Tx Court Training & Performance Measures
WI Tx Courts Standards - 2014

- Based on the Ten Key Components and the Adult Drug Court Best Practice National Standards
- Incorporated other research, evaluation and lessons learned
- Each standard includes practice points
- Includes all types of treatment courts
- Developed by those working in treatment courts in WI
Training

• BJA Drug Court Discretionary Grant - 2014

• Funding to deliver 2 rounds of training statewide

• 6 locations across the state, 2.5 days each
  ▫ ~1.5 days on standards, ~1 day on performance measures

• Over 500 participants; 71 out of 74 treatment courts

Tx Court Standards Training Materials
To encourage the development and use of meaningful measures that can be used to assess program performance.

Currently targeted to drug and hybrid courts. Will evolve over time.
Performance Measures Development

• Based on WI Treatment Court Standards and measures developed by the National Research Advisory Committee (NRAC)

• Incorporated work conducted by the National Center for State Courts (NCSC)

• Developed with a working group of those working with WI drug courts

• Funded by 2012 BJA Drug Court Enhancement Grant
Performance Measures Development

- Also research from other states and on evidence-based practices
- Measures are well-documented and include data sources, calculations, and performance targets
- Goal is to have consistent measures and ways of analyzing and reporting across specific types of courts

WI Drug and Hybrid Performance Measures
Implementation of the Standards and Performance Measures

- Training is just the beginning
- Need right people in the right roles
- Everyone is at a different level of understanding
- Structural variations exist across courts
- On-going and continuous improvement
- Demonstrate adherence to evidence-based practices
Data Collection and Evaluation

Why Data?

- Anecdotes are great *(but are not enough)*
- Measure Performance
- Demonstrate Results
- Evaluate what works *(and what does not)*
Data Collection and Evaluation

Evaluation can help address important questions:

- How was the program implemented?
- Is the program meeting the stated goals?
- What are the program outcomes?
- What are the program goals?
- How can we improve the program?
Types of Evaluation

**Process**
~How was the program implemented?
~Was the program implemented as intended?
~Is the program adhering to standards and evidence-based practices?

**Outcome**
~Is the program meeting the stated goals?
~Are there racial or ethnic disparities in the program?
~What is the graduation rate for program participants?

**Impact**
~How do participants compare to those in the traditional cjs?
~What is the effect of the program on recidivism?
~Did program participants spend fewer days in jail or prison?

**Cost-Benefit**
~What are the costs associated with the program?
~Is the program cost-effective?
~How do program costs and benefits compare to alternatives?
Data Collection and Evaluation

Why Evaluation?

- To document the process
- To check program progress
- To look at program outputs, outcomes, and impact
  - Completion rate
  - Changes in employment or education
  - Incarceration days averted
  - Recidivism
  - Comparison to traditional cj outcomes (impact)
  - Cost/benefit analysis

To inform decisions
Performance measures also address important questions:

How do the program measures compare to goals or “benchmarks?”

How are participants doing in the program?

Where can the program be improved?

What is happening with the program now or how is it changing?

What treatment doses are being given to participants?
### Performance Measures

#### Outcome Measures
- Sobriety
- In-Program Recidivism
- Post-Program Recidivism
- Restitution

#### Dosage Measures
- Incentives & Sanctions
- Treatment Services
- Frequency of Status Hearings
- Frequency of Supervision
- Frequency of Drug/Alcohol Testing

#### Processing & Admission Measures
- Processing time
- Screening & Assessment
- Discharge Type
- Average Length of Stay

#### Social Functioning

- Improvement in:
  - Employment Status
  - Educational Status
  - Residency Status

#### Procedural Fairness

- Perceived Procedural Fairness
What is CORE?

• **The CORE Reporting System**

• Comprehensive Outcome, Research, and Evaluation Reporting System

• Centralized system
  • Web based application
  • Participant-level data collection

• For use by *funded* and *non-funded* Treatment Courts and Diversion Programs
Data Collection

- Participants are referred and screened
  - Eligibility, program type

- Participants start the program
  - Employment, education, medical, mental health, AODA, etc. – one per admission!

- Updates as they progress through the program
  - Services, AODA testing, outcomes, incentives, sanctions – by event!

- Participants complete or terminate the program
  - Status, services, AODA testing
<table>
<thead>
<tr>
<th>Status</th>
<th>Current</th>
<th>Incomplete</th>
</tr>
</thead>
<tbody>
<tr>
<td>Referred/Under Review</td>
<td>117</td>
<td>67</td>
</tr>
<tr>
<td>Pending Admission</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Active</td>
<td>63</td>
<td>46</td>
</tr>
<tr>
<td>Inactive</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td>193</td>
<td>116</td>
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</table>

<table>
<thead>
<tr>
<th>Status</th>
<th>YTD</th>
<th>PTD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ineligible</td>
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<td>6</td>
</tr>
<tr>
<td>Declined Participation</td>
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<td>12</td>
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<tr>
<td>Graduated</td>
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<tr>
<td>Terminated</td>
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<td>0</td>
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<tr>
<td>Administrative Discharge</td>
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<td>0</td>
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<tr>
<td>Voluntary Withdrawal</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Transfer</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
Sample Referral/Admission Screen

Deputy Dog | CORE ID: 102256 | Active 👥

**Demographics**

- **First Name:** Deputy
- **Last Name:** Dog
- **Sex:** Male
- **DOB:** 11/08/2015
- **Race:** Unknown
- **Middle:**
- **Suffix:** -- Select --
- **Veteran:** -- Select --
- **Ethnicity:** Unknown

**Identifiers**

- **SID:** 1236523
- **No SID:**
- **SSN:**
- **DOC No.:**
- **HSRS No.:**
- **Tracking No.:**
### Incentives:

**Sample Progress Update**

<table>
<thead>
<tr>
<th>Date of Incentive(s)</th>
<th>Precipitating Event(s) End Date</th>
<th>Elapsed Days</th>
<th>Reason(s) for Incentive(s)</th>
<th>Incentive(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>03/09/2016</td>
<td>01/06/2016</td>
<td>63</td>
<td>Clean drug tests/Sobriety;</td>
<td>Fishbowl draw;</td>
</tr>
<tr>
<td>02/02/2016</td>
<td>02/02/2016</td>
<td>0</td>
<td>Supervision appointments attended; Treatment progress;</td>
<td>Removal of curfew;</td>
</tr>
<tr>
<td>02/02/2016</td>
<td>02/02/2016</td>
<td>0</td>
<td>Supervision appointments attended;</td>
<td>Removal of curfew;</td>
</tr>
<tr>
<td>02/01/2016</td>
<td>02/01/2016</td>
<td>0</td>
<td>Pro-social activities;</td>
<td>Removal of curfew;</td>
</tr>
</tbody>
</table>

Incentive Notes (max. 1000 characters): 

Test
Resources

TAD Grant Writing Training Materials:
https://cjcc.doj.wi.gov/initiative/tad/tad-competitive-grant-process-cy17-funding

Tx Court Standards Training:

Tx Court Performance Measures:

Ten Key Components of Drug Courts:
http://www.ndci.org/sites/default/files/nadcp/Key_Components.pdf

Wisconsin Treatment Court Standards:

Performance Standards & Goals for Pretrial Release & Diversion:

Adult Drug Court Best Practice Standards Volume I:
http://www.nadcp.org/sites/default/files/nadcp/AdultDrugCourtBestPracticeStandards.pdf

Adult Drug Court Best Practice Standards Volume II:

http://uwphi.pophealth.wisc.edu/about/staff/van-stelle-kit/tad-2014-outcomes-report.pdf
Questions?

Thank you!