



NATIONAL
ASSOCIATION OF
ATTORNEYS GENERAL

2020

Annual Report

2020 Annual Report



The year 2020 ended up looking very different than what we all expected. Like every other organization, NAAG faced significant disruptions from the COVID-19 pandemic. Yet, I am extremely proud to say that NAAG rose to the occasion to serve its members and their constituents.

After a busy January and February and an extremely successful NAGTRI Academy in early March, the NAAG staff quickly pivoted to providing virtual training and services to the attorney general community. We launched a new online learning platform in June 2020, providing attorney general staff access to a library of on-demand courses, recordings of previous webinars, and additional resources for continuous learning. In addition, a number of previously in-person live trainings were converted to online programs, accessible to staff throughout the country in real-time.

In addition, NAAG advanced several key initiatives in support of priorities laid out in the 2019 Strategic Plan. We launched a new association management system to enhance our services to members, created a new public health position to support the work of attorneys general in addressing emerging issues, and unveiled a new website to improve communications within the membership and enhance visibility of the attorney general community.

Under the leadership of Montana Attorney General Tim Fox, the nation's attorneys general worked together to address a variety of important issues, including supporting crime victims, safeguarding America's first responders, and protecting consumers from price gouging and fraud during the pandemic. General Fox's presidential initiative, which focused on transformational leadership and civility, led to many constructive conversations about bipartisanship and the importance of attorneys general working together to serve their constituents and the country.

Despite the enormous challenges of 2020, this year brought many opportunities for growth within our organization. I am proud to highlight NAAG's accomplishments in this report and look forward to future opportunities to further the missions of state and territory attorneys general in the coming year.



Chris Toth
NAAG Executive Director

Involvement and Collaboration

NAAG serves attorneys general by promoting the exchange of knowledge on subjects of importance to attorneys general and their staff. In addition, the association fosters engagement and cooperation on legal and law enforcement issues by providing a community for members to collaboratively address issues important to their work.

MEETINGS

After COVID-19 forced the cancellation of the Presidential Summit in Big Sky, Montana, NAAG staff worked closely with Montana Attorney General and NAAG President Tim Fox to develop the first ever Presidential Initiative Webinar Series. The Series consisted of six virtual programs between July and December that brought NAAG members together with current and former government officials, scholars, and other thought leaders for conversations on “Transformational Leadership and Civility,” the theme for the 2020 Presidential Initiative. Panelists and speakers included nine attorneys general, seven former attorneys general, current and former members of Congress, academics, and representatives from national nonprofits and think tanks.

NAAG hosted a two-part virtual meeting for chief deputies and chiefs of staff in August and September 2020. The interactive meetings included discussions on the budgetary impacts of COVID-19, reintegration into the workplace, legal ethics, consumer protection, and an update on COVID-19 vaccines.

The 2020 NAAG Capital Forum was also held virtually using an interactive meeting platform. The meeting featured a moderated discussion between NAAG President and



7 Standing Committees

10 Special Committees

5,532 attorney general office participants in online communities

2,256 NAAG virtual meeting participants, including **1,413** attorney general staff

Montana Attorney General Tim Fox and presidential historian Michael Beschloss, concluding General Fox’s 2020 Presidential Initiative on “Transformational Leadership and Civility.” In addition, District of Columbia Attorney General Karl A. Racine introduced his 2021 presidential initiative and welcomed author Christian Picciolini for keynote remarks about his journey out of violent extremism. In addition, 2021 NAAG Officers and Region Chairs were elected during the NAAG Business Meeting.

Involvement and Collaboration

COMMITTEES/WORKING GROUPS

NAAG committees continued to support collaboration among attorneys general and their staffs on issues relevant to the attorney general community.

After the pandemic forced the cancellation of the 2020 Spring Consumer Protection Conference, the NAAG Consumer Protection Committee, co-chaired by Tennessee Attorney General Herbert H. Slatery III and North Carolina Attorney General Josh Stein, supported a virtual Fall Conference over multiple days in October 2020. Committee members provided a welcome to the attendees and served as panelists for a session on attorney general priorities. In addition, Generals Slatery and Stein led a discussion regarding consumer protection multistate matters during the NAAG Chief Deputies meeting and responded to many press inquiries throughout the year. Finally, the Committee completed a large consumer protection project to assist attorneys general and their staff in multistate matters.

The NAAG Charities Committee, co-chaired by New York Attorney General Letitia James and New Hampshire Attorney General Gordon MacDonald, supported attorney general charities enforcement by serving as speakers for the 2020 NAAG NASCO Virtual Conference. General MacDonald also welcomed attendees to a national NAGTRI charities training.

The group of NAAG member offices that work on nuclear waste cleanup issues at U.S. Department of Energy sites in their states participated in the Nineteenth Annual Intergovernmental Meeting with the U.S. Department of Energy held virtually November 17-18, 2020. Deputy Attorney General Darrell Eide of the Idaho Attorney General's Office, as a member of this NAAG group, was the lead presenter on a panel entitled "When Cleanup Plans Change: An Idaho Case Study."

In addition, NAAG compiled directories and convened calls for Civil Chiefs, as well as for attorney general staff working on education issues and their counterparts in state education departments.

COMMUNITIES/MEMBERS ONLY RESOURCE PAGES

NAAG developed several online communities in 2020 where staff of attorney general offices can share information on specific topics including COVID-19, reintegration into the workplace, nuclear waste cleanup, and per- and polyfluoroalkyl substances (PFAS). Previous communities dedicated to consumer protection, charities, and veterans and military matters also continued to be actively updated. These communities promote the exchange of knowledge and foster engagement and cooperation on legal and law enforcement issues.

Legal and Strategic Consulting

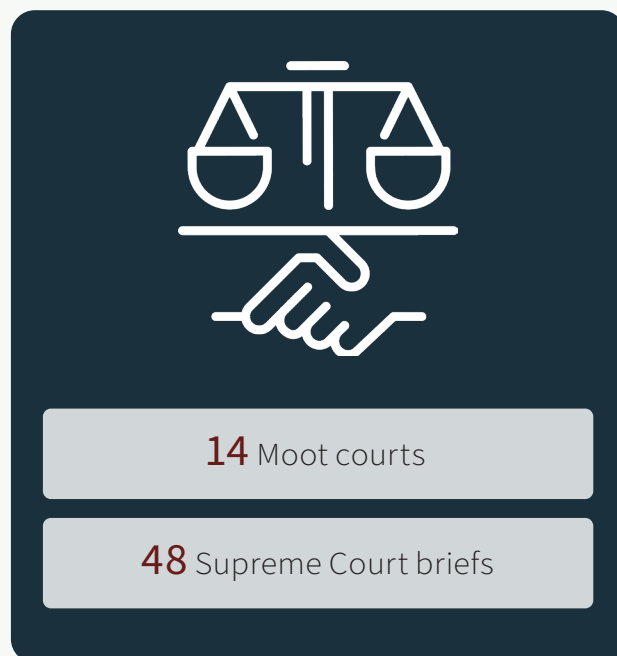
NAAG, particularly through the NAAG Center for Supreme Court Advocacy and Center for Tobacco and Public Health, provides legal advice and consulting to the counsel in state attorney general offices throughout the year.

MOOT COURTS/BRIEF REVIEWS

In 2020, the **NAAG Center for Supreme Court Advocacy** conducted 14 moot courts for U.S. Supreme Court arguments. In addition, the Supreme Court Center edited more than 40 state briefs filed in the Supreme Court.

CASE SUPPORT, TOBACCO ENFORCEMENT GRANTS

The **NAAG Center for Tobacco and Public Health** (Tobacco Center) assisted North Carolina counsel in obtaining and administering a Tobacco Enforcement Fund (TEF) grant request to supplement earlier grants, now totaling \$1.1 million, to support its retention of an expert and other professionals needed to defend against a suit filed by a tobacco company seeking a new interpretation of North Carolina's escrow statute, which, if found, would have likely spurred similar litigation in numerous states.



LIAISON WITH FEDERAL TOBACCO REGULATORS AND PUBLIC HEALTH GROUPS

In 2020, counsel from the Tobacco Center communicated on behalf of the states with federal agencies involved in the regulation of tobacco about matters relevant to the states' responsibilities regarding tobacco regulation, including the impact of the COVID-19 pandemic on certain aspects of the federal regulatory process and the corresponding impact on states. Tobacco Center counsel also acted as liaison for the states with public health organizations involved in tobacco control and regulation so that the states could obtain information relevant to their work from those organizations and the states' positions could be advanced in the public health community.

Legal and Strategic Consulting

MSA ADMINISTRATION AND IMPLEMENTATION

The 2020 Master Settlement Agreement (MSA) payment process was made more complicated by the COVID-19 pandemic. In the two months leading up to the April 15, 2020 payment due under the MSA, the pandemic caused tremendous fluctuations in the stock market and greatly reduced the yield on very short-term U.S. Treasury notes, at times, causing them to be negative. These market conditions made it very challenging to find qualifying investments with a positive yield in which to hold the \$6 billion MSA

payment due on April 15, but not distributed to the States until April 21. Tobacco Center counsel were in regular contact with state attorney general office tobacco regulators, the MSA's investment manager, the MSA's accounting firm, and other financial experts to provide advice and direction to the states about investing these funds during the very short window from their payment to their distribution. During the weeks before April 15, Tobacco Center counsel provided the states with regular updates on treasury note yields, investment of early payments, and investment and distribution of the April 15 payment.

MISSION FOUNDATION

The NAAG Mission Foundation, Inc., a 501 (c)3 organization, was formed with proceeds from the 1998 Tobacco Master Settlement Agreement (MSA). Its board consists of seven attorneys general, including the four current NAAG executive officers. The Foundation's purpose is to help NAAG's mission by engaging

in education, research, and funding other NAAG programs and initiatives. In 2020, Mission Foundation support focused on online and web-based trainings, as well as improvements to NAAG's IT infrastructure, including the new membership database and website.

Legal Representation and Counsel

NAAG, primarily through the NAAG Tobacco Center, provides legal counsel and representation to the attorneys general as they regulate the sale of tobacco and nicotine products in their states and enforce the MSA and related laws, regulations, and agreements. This includes providing legal advice on federal tobacco regulatory laws such as the Family Smoking Prevention and Tobacco Control Act, which give the Food and Drug Administration (FDA) regulatory authority over tobacco products.

LITIGATION REPRESENTATION AND ADVICE

In 2020, the Tobacco Center wrote one and edited two amicus briefs on behalf of their state attorney general clients, one of which was filed in a tobacco manufacturer bankruptcy case and the other two were filed in federal cases challenging the FDA's new graphic warning labels for cigarette packaging. Tobacco center counsel also represented, as counsel of record, numerous state tobacco regulators in two bankruptcy cases filed by tobacco manufacturers: *In re King Mountain Tobacco Co.* (Bankr. E.D. WA), and *In re Sandia Tobacco Manufacturer, Inc.*, (Bankr. D. N.M.) NAAG counsel also represented a group of eight states in negotiating a \$300 million settlement in a tobacco manufacturer case pending in state court in North Carolina.

Throughout 2020, Tobacco Center counsel provided legal advice to states through regularly scheduled conference calls held with working groups of states, and through all-states calls held on an as-needed basis to address time-sensitive or case specific issues. In 2020, Tobacco Center counsel conducted 38 working group calls to provide attorney-

client advice to the states affected by the legal issues relevant to the subject matter of each working group. They also led 13 all-states calls on issues specific to pending litigation affecting most or all the 52 states that signed the MSA. Typically, 40-50 state counsel and legal staff participated in these working groups and routinely attended these conference calls.

To facilitate attorney-client communication, the Tobacco Center also maintains the Tobacco Collaborative Intelligence System, a secure, wiki-based, collaboration platform that hosts confidential communication among the MSA states and NAAG counsel. Throughout 2020, over 200 state counsel and legal staff from all 52 MSA states regularly used this platform.

In 2020, Tobacco Center counsel also represented the MSA states in three virtual meetings with PwC, the accounting firm that calculates all payments and adjustments under the MSA. These meetings discussed certain accounting schedules produced by PwC to track the tobacco companies' MSA payment history and the process that PwC would follow to ensure the accuracy of the MSA's 2020 (\$6 billion) payment distribution to the MSA states and its application of certain settlements resolving significant payment disputes to the MSA funds received by the states that were parties to those settlements. Over 40 states and all the companies participated in these meetings.

Information and Research

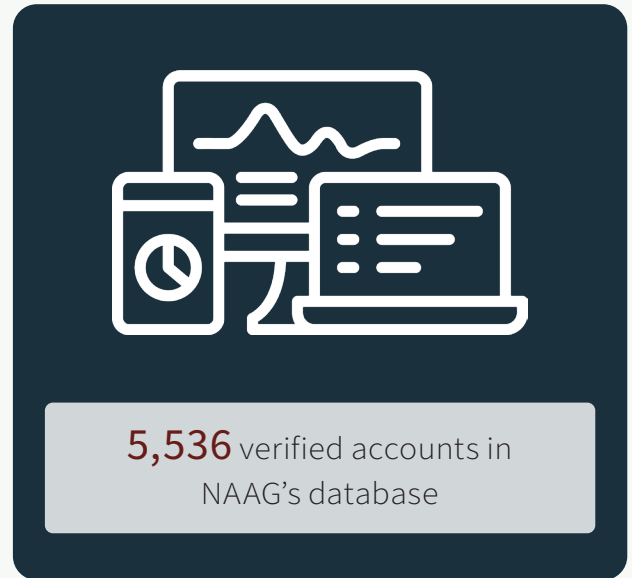
NAAG and its research and training arm, the National Attorneys General Training & Research Institute (NAGTRI), provide information and research to attorneys general and their staffs, including publishing reports and newsletters on a wide range of topics. In addition, NAAG fosters information exchange and collaboration among attorney general offices.

As part of ongoing efforts to enhance the services provided to members of the attorney general community, NAAG launched a new database in 2020. The new database, which is accessible through the NAAG website, will improve the registration and nominations process for webinars, events, and trainings, allow for targeted communications that follow data privacy best practices, and enhance NAAG's reporting capabilities.

In compliance with the NAAG strategic plan's direction to expand efforts to address public health issues of common concern to the attorney general community, NAAG created a health policy analyst position. Hired in July 2020, Amanda Bowes provides support to the state and territory attorneys general in addressing existing and emerging public health issues relevant to their work. NAAG's 2020 public health work focused heavily on issues related to COVID-19 and the vaccine rollout, with additional focus on substance use issues.

STATISTICS

The new NAAG website includes a Research and Data section featuring maps and tables of information on attorneys general and the characteristics of their offices.



BOOKS AND MANUALS

NAGTRI Center for Ethics and Public Integrity staff dedicated considerable time in 2020 to completing an anticorruption manual for use by attorney general staff and prosecutors. With the support of contributors including current and former attorney general staff, law professors, and other members of the legal community, the manual is expected to be published in 2021.

NEWS UPDATES

During the first six months of the pandemic, NAAG sent regular COVID-19 Update newsletters to keep members informed of the latest news and resources available to attorney general offices.

In addition, NAAG shared a survey with member offices to collect COVID-19 data to share among offices on NAAG's COVID member resource page.

Information and Research

INFORMATION REQUESTS

NAAG continued to foster information exchange and collaboration among attorney general offices. In addition to facilitating connections among attorney general staff through regular communications, NAAG staff coordinated all-office information requests on behalf of chief deputies from 11 attorney general offices.

In the chaotic early days of the COVID-19 pandemic, NAAG staff recognized the urgent need for real-time collaboration and information sharing among attorney general

offices. To support members' work addressing unprecedented issues in their states and territories, NAAG launched an online resource exclusively for attorney general staff to disseminate useful information, facilitate the exchange of effective strategies, court documents, and highlight best practices to help attorneys general in their work responding to the COVID-19 pandemic.

The resource page grew quickly to include more than 2,035 documents, links to 45 COVID-related webinars, and staff contact lists. Between March and December 2020, 1,242 unique users made more than 43,811 visits to the site.



NATIONAL ASSOCIATION OF MEDICAID FRAUD CONTROL UNITS (NAMFCU)

This year, the most noteworthy achievement for the National Association of Medicaid Fraud Control Units (NAMFCU) was the passage of expanded jurisdiction legislation. In December 2020, Congress passed the Medicaid Patient Abuse Prevention Act, which expands the authority of the MFCUs to investigate and prosecute cases of abuse and neglect in “noninstitutional” settings.

NAMFCU continues to focus on resolving global cases and providing professional virtual training to MFCU staff. This fiscal year, 12 global cases were resolved with estimated \$196.91 million recovered for the Medicaid program. The largest resolved case during the fiscal year was the Novartis settlement, which recovered approximately \$92 million for the Medicaid program.

During 2020, NAMFCU hosted both in-person and virtual trainings for MFCU staff. NAMFCU held a 101 Medicaid Fraud Training in Montgomery (February 2020), a 102A Medicaid Fraud Training Program in San Antonio (January 2020), and a 102B Medicaid Fraud Training Program in New Orleans (February 2020). Approximately 135 staff were trained at these in-person programs. Additionally, NAMFCU held 16 webinars in 2020 that covered a variety of relevant Medicaid fraud topics and trained approximately 10,775 MFCU staff. The largest virtual program was a three-day event with approximately 1,000 attendees.

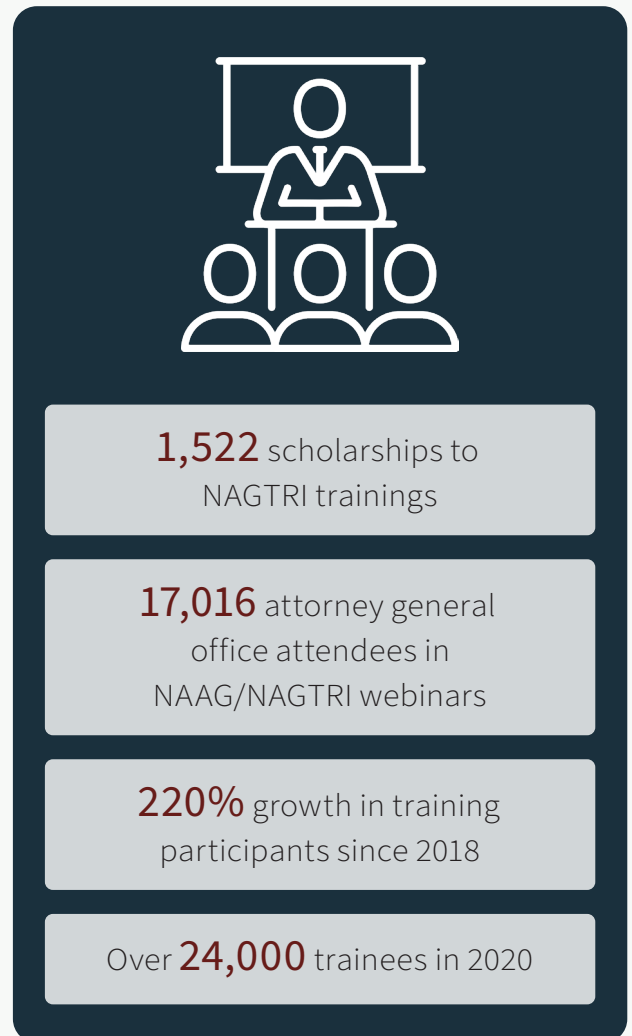
Professional Development

NAAG provides high-quality, non-partisan training to state and territory attorney general offices through its training and research arm, the National Attorneys General Training & Research Institute (NAGTRI).

In 2020, NAGTRI developed a new strategic plan for 2020-2025. Reflecting the collective input of the NAGTRI Training Committee, National Advisory Board, Strategic Planning Working Group, and NAGTRI staff, the plan reflects both the state of NAGTRI today, as one of the country's preeminent legal training and research institutes, and its anticipated future growth over the next five years. Priorities outlined in the plan include: ensuring financial soundness; increasing training opportunities while sustaining and improving quality; maximizing research function to meet Attorney General office needs; promoting additional involvement in the governance of NAGTRI; and evolving.

TRAINING

From March 2-4, NAGTRI hosted NAGTRI Academy, a unique three-day training for attorney general staff and members of the government legal community. NAGTRI Academy provided attendees with insights and real-world applications on topics, including civility, ethical considerations of the attorney general office, conflict of interest, courtroom conduct, workplace conflict, communications in the public sphere, and wellness and mental health. In addition to eight plenary sessions, more than 20 concurrent sessions allowed participants to explore other areas including navigating ethics and understanding and using principles of management and leadership. The first-time event was attended by six attorneys



general and had over 150 attorney general staff representing all 50 states, four territories, and the District of Columbia. Participants also included federal government attorneys, law students, and barristers and solicitors from Canada.

Beginning in February, NAGTRI hosted a series of webinars dealing with issues related to COVID-19. These short, informal webinars offered situational and operational updates of interest to the attorney general community. Most webinars were recorded for on-demand viewing and the archives were hosted on the members-only COVID resource page.

Professional Development

Beginning in April, the **NAGTRI Center for Leadership Development** hosted a five-week Wellness Webinar Series that featured four webinars viewed live by more than 2,000 participants. In addition, NAGTRI launched a multi-week Wellness Series with a 10 minute “daily pause” session designed to offer a brief stop during the workday to practice mindfulness and improve focus.

In June, NAGTRI launched a new online learning platform to meet the increasing demand for high quality, practical training for the attorney general community. NAGTRI Online provides on-demand courses, recordings of previous webinars from NAGTRI, its partners, and affiliates, and additional resources for continuous learning. New learning content will be developed on an ongoing basis.

NAGTRI, through the **Center for Legal Advocacy and Faculty Development**, converted four historically in-person courses to an online, hybrid format in order to continue providing critical skills training to attorney general offices. The Negotiation Skills, Deposition Skills, Motion Practice, and Trial Advocacy trainings ultimately included the creation of more than 20 on-demand lessons, available on NAGTRI Online, each with its own learning objectives, videos, and supplemental materials. A November 2020 National Deposition Skills for Generic Drug Manufacturer Litigation was the first NAGTRI skills training ever tailored to an actual ongoing case.

In addition, the **NAGTRI Center for Consumer Protection (CCP)** hosted 15 webinars focusing on consumer protection, charities, and veterans/military matters. In addition, prior to the pandemic, the CCP hosted an in-person regional consumer protection training and a national consumer protection training. Virtually, the CCP hosted/co-hosted a national charities training, national consumer investigations training, the NAAG Fall Consumer Protection Conference, and the NAAG NASCO Charities Conference.

The **Center for Tobacco and Public Health** converted its historically 2-day in-person Tobacco Issues Seminar into an interactive, virtual format conducted over three afternoons. This seminar discussed, in an attorney-client setting, contemporary tobacco regulatory topics vetted by a committee of state counsel and Tobacco Center counsel. Attendees included 120 state counsel and legal staff from 47 states.

NAGTRI converted a traditionally in-person bankruptcy seminar to a fully virtual program that took place over four afternoons in November 2020. Covering both regulatory and tax issues and offering content for both beginners and experienced counsel through a variety of breakout sessions, this program attracted more than 350 registrants, the largest registration ever for a NAGTRI bankruptcy seminar.

Professional Development

INTERNATIONAL OPPORTUNITIES

From late December 2019 through the first days of January 2020, eleven attorneys general participated in a delegation to Israel hosted by the America-Israel Friendship League. During this international visit, they met and interacted with members of Israeli civil society and the government officials, including the Attorney General Office, on rule of law and joint collaborative efforts as chief legal officials.

The **NAGTRI Center for International Partnerships and Strategic Collaboration (CIPS-C)** was slated to host 20 International Fellows from 15 countries in June 2020 for its annual International Fellows Program focusing on domestic and sexual violence. However, due to the ongoing global pandemic, the program was postponed.

Through the work of CIPS-C, NAAG is providing support to international partner organizations, including:

- Assisting the International Association of Prosecutors (IAP) with the development of a global training academy.
- Developing a series of joint webinars on human trafficking with IAP and the Organization for Security and Co-operation in Europe (OSCE).
- Working with the United Nations Investigative Team, UNITAD, to provide gender-based violence and child forensic interviewing training to prosecutors and investigators for international war crimes.
- Supporting the United Nations Educational, Scientific and Cultural Organization (UNESCO) in the development of training on protecting journalists worldwide.

In addition to CIPS-C's work, the **NAGTRI Center for Consumer Protection (CCP)** leads the Prosecutors Consumer Protection Network (PCPN) of the International Association of Prosecutors. The PCPN works to provide a much-needed forum for practitioners to communicate, exchange ideas and experiences, stay informed, and collectively innovate and problem solve to advance approaches and techniques, and undermine fraudulent enterprises that harm consumers in the United States and worldwide. In 2020, the PCPN hosted webinars on a new online tool to fight international scams and intellectual property crime during COVID, as well as a meeting of international regulators to discuss consumer protection issues related to COVID. In addition, the CCP runs the PCPN portion of the IAP website, providing international enforcers with helpful information regarding consumer protection. Outside of the IAP, CCP staff also served as presenters for a training for Asian enforcement officials hosted by the United States Patent and Trademark Office (USPTO) regarding fighting COVID fraud.

Outreach and Visibility

In 2020, NAAG focused extensively on improving outreach to heighten the visibility of the attorney general community and increase awareness of legal and law enforcement issues attorneys general address.

The **NAGTRI Center for Consumer Protection (CCP)** updated its consumer-facing website ConsumerResources.org to include a COVID-19 resource page, which includes information to protect consumers from vaccine scams and fake clinical trials, resources for consumers to check the status of their COVID-19 relief payment, and a guide to help educate consumers on how to close the accounts of their departed loved ones or convert them into memorial or tribute accounts. CCP also published a series of guides on parental controls to keep kids safe online.

SOCIAL MEDIA

NAAG partnered with Twitter to host a nationwide #AskTheAG event on May 1, 2020. Twenty-one state and territory attorneys general participated, answering constituent questions about the law and public safety during the pandemic.

PODCAST

In July, NAAG launched a new biweekly podcast called The People's Lawyer. Each episode explores the role of the 56 state and territory attorneys general as chief legal officers for their states and their work protecting the rule of law and the U.S. Constitution. In each episode, Montana Attorney General Tim Fox interviews other attorneys general on legal matters impacting



their states and how attorneys general collaborate across party lines to tackle important issues. Eleven episodes, released between July and December, are available on the NAAG website and on most major podcast platforms.

MEDIA RELATIONS

NAAG focused on increasing public awareness of attorneys general and their role through social media and expanded media outreach. NAAG supported a jointly authored op-ed by Montana Attorney General Tim Fox and Massachusetts Attorney General Maura Healey on the bipartisan work of attorneys general on shared priorities, such as funding for crime victims. In addition, NAAG coordinated an October 2020 statement on election security on behalf of 36 attorneys general.

Outreach and Visibility

BRANDING AND WEBSITE

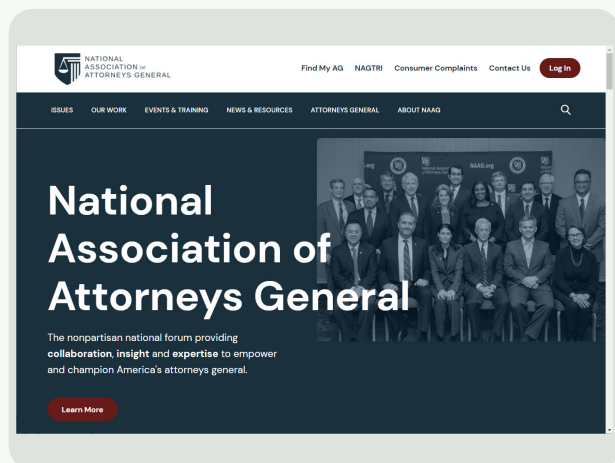
In accordance with the NAAG Strategic Plan focus on effective communications, NAAG hired a consultant to help review and revise the organization's brand and update the NAAG website.



NATIONAL
ASSOCIATION OF
ATTORNEYS GENERAL

NAAG's revised logo includes:

- A shield to represent the protective role attorneys general provide for the country and society.
- A scale to represent justice.
- Three supporting columns to represent collaboration, insight, and expertise.

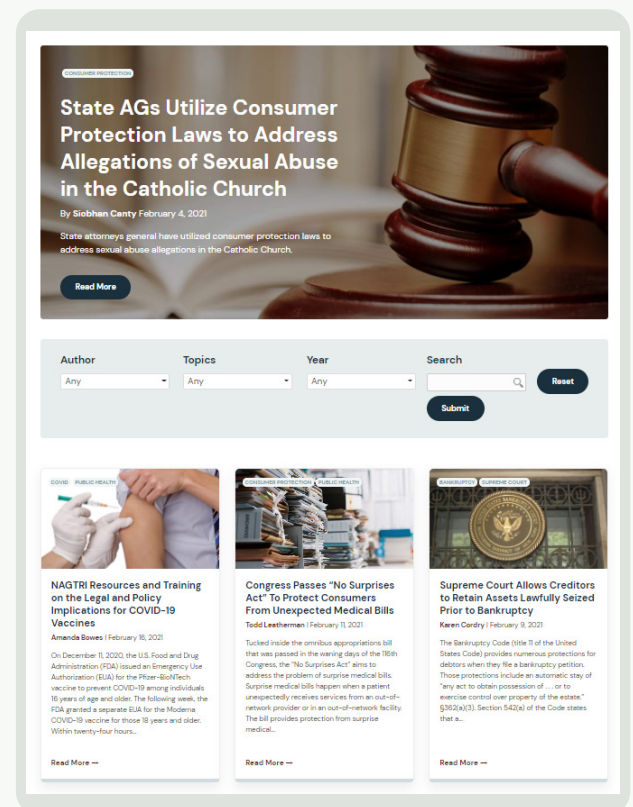


After a year-long effort, NAAG launched its newly revised website in November 2020. The website will be updated in the months and years to come in order to promote the work of the attorney general community and provide useful information and tools to members.

The new website features:

- Research and data about the 56 state and territory attorneys general and their work.
- An event calendar highlighting all of NAAG's programs and trainings.
- Easy access to on-demand courses from NAGTRI.
- Insights on issues facing the attorney general community.

The website also includes a new online publication, the Attorney General Journal, which features articles on a variety of issues relevant to the work of attorneys general, including anticorruption, consumer protection, human trafficking, and the U.S. Supreme Court.



Outreach and Visibility

LEGISLATIVE AND EXECUTIVE OUTREACH

NAAG initiated a Federal Speaker Series during which high-ranking federal officials engage in conversations with attorneys general. 2020 speakers included Secretary of Agriculture Sonny Perdue, Office of National Drug Control Policy (ONDCP) Director James “Jim” Carroll, Cybersecurity and Infrastructure Security Agency (CISA) Director Christopher Krebs, and other officials from the Department of Justice, Department of Homeland Security, and Health and Human Services (HHS).

Also, as part of this series, NAAG facilitated calls with Congressmen Jamie Raskin (D-MD) and Blaine Luetkemeyer (R-MO), who both serve on the House Select Subcommittee on the Coronavirus Crisis. In addition, Congresswoman Judy Chu (D-CA), who serves as Chair of the Congressional Asian Pacific American Caucus, spoke on the rise of hate incidents during the pandemic against Asian American and Pacific Islanders.

On July 9, North Carolina Senior Deputy Attorney General and Consumer Protection Division Director Kevin Anderson testified before the House Subcommittee on Consumer Protection and Commerce of the Committee on Energy and Commerce on consumer protection issues during the COVID-19 pandemic.

NAAG also coordinated consumer protection calls with attorney general offices and federal agencies to share information about COVID-related scams, price gouging, consumer complaint trends and other consumer topics.

In the spring and summer of 2020, NAAG staff worked with the Federal Emergency Management Agency, the U.S. Department of Health and Human Services, and the Department of Defense—along with other government agencies and non-government organizations—as part of a planning team to make recommendations for state, local, tribal, and territory leaders addressing casualty management issues as a result of COVID-19. NAAG’s work included surveying state laws that require the investigation or autopsy of people who are believed to have died from contagious diseases posing a risk to public safety, like COVID-19, and providing that information to AGs and to other stakeholders.

Outreach and Visibility

POLICY LETTERS

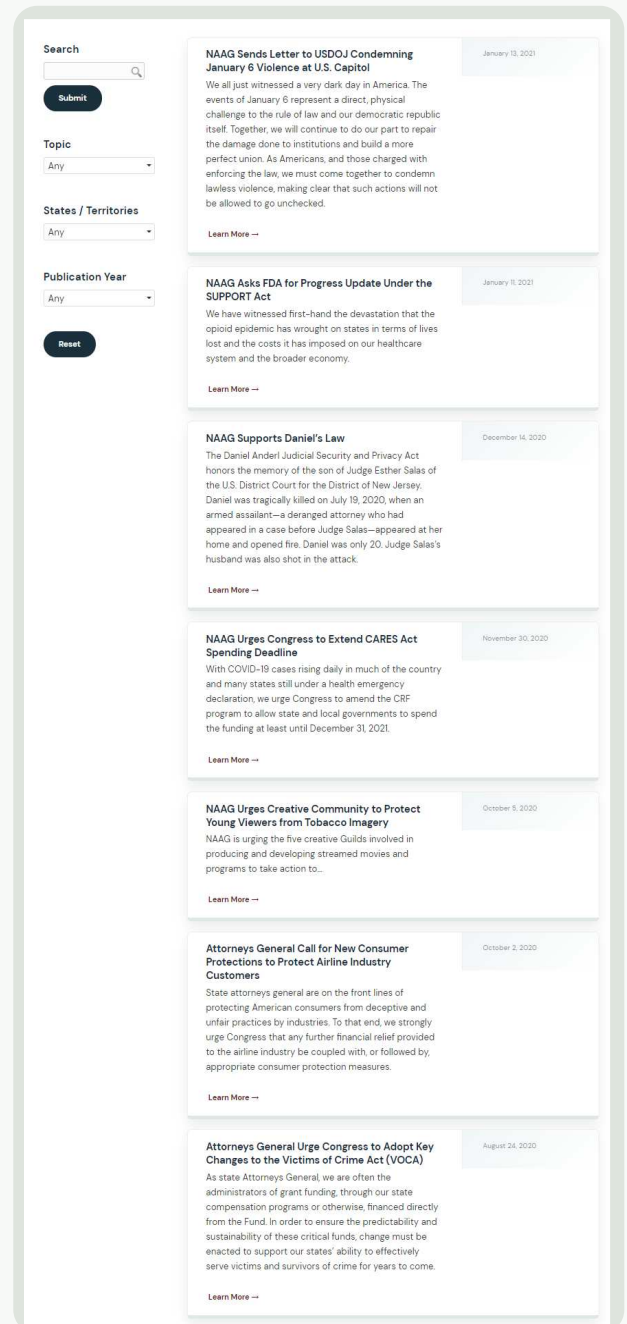
NAAG sent 14 policy letters reflecting NAAG policy in 2020. Topics included:

- Amending the Victims of Crime Act to stabilize the Crime Victims Fund
- Tobacco imagery in streaming services
- COVID-19 relief funding and Consumer Protection Issues

Several policies supported by NAAG became law in 2020, including:

- After 52 attorneys general signed a NAAG endorsement letter of the Safeguarding America's First Responders Act of 2020, it was signed into law during the summer of 2020.
- Following a 2019 NAAG endorsement, the Veteran Treatment Court Coordination Act of 2019 was signed into law in summer 2020.
- In response to a letter to Congress signed by 49 attorneys general, Congress extended the Coronavirus Relief Fund program to allow state and local governments to spend relief funds through December 31, 2021.
- The National Defense Authorization Act passed by Congress in December 2020 included beneficial ownership language supported by a July 2020 NAAG letter that will help prevent shell companies being used to finance terrorism.
- Congress appropriated \$7 billion to increase access to broadband, as requested in a May 2020 NAAG letter asking for expanded national access to broadband.

The new website includes a database of recent NAAG policy letters searchable by topic, year, and participating attorney general offices.



Outreach and Visibility

STRATEGIC RELATIONSHIPS

The NAAG Center for Excellence in Governance partnered with the National Constitution Center on a series of virtual Town Hall events in 2020. The first, on May 5, featured Montana Attorney General Tim Fox and New Jersey Attorney General Gurbir S. Grewal discussing how attorneys general were using their powers to protect residents during the pandemic. On July 8, the second event featured Minnesota Attorney General Keith Ellison and Ohio Attorney General Dave Yost in a discussion about the constitutional implications involved in police reform efforts, qualified immunity for officers, and the current protests around the country.

Through the CCP, NAAG partners with the State Center to conduct meetings for consumer protection staff. One in-person regional meeting took place prior to the pandemic and additional training was provided via webinar. In addition, the CCP partners with the United States Patent and Trademark Office (USPTO) to provide training on intellectual property and consumer protection matters. CCP partnered with USPTO to provide virtual training this year, in place of in-person meetings postponed due to the pandemic, and the formal relationship was extended through 2023.

NAAG is part of a team that was awarded a three-year, \$2 million grant to develop and administer the NIJ National Center on Forensics. Led by the George Mason University Forensic Science Program, the team includes Montana's Forensic Science Division, which is overseen by the Montana Attorney General's Office, and the American Society for Clinical Pathology. NAAG will be leading one of the

Center's two primary missions: forensic science training for prosecutors, judges, and law enforcement. Other team members will focus on increasing the number of medical examiners in underserved, rural areas. The grant is a cooperative agreement, which means that the National Institutes of Justice (NIJ) will work directly with NAAG's team to develop the Center, create trainings, and work with medical schools and others in an effort to increase the number of medical examiners.

NAAG continued its partnership with the Practising Law Institute (PLI). This partnership allows NAAG to provide free training and CLEs to our member offices. The number of attorney general offices actively using PLI resources increased from seven in 2019 to 30 in 2020.

Finally, NAGTRI entered into conversations with Georgetown Law School's Project on State and Local Government Policy and Law in 2020 to host a series of workshops on state attorney general course pedagogy. Beginning in 2021, the workshops will endeavor to create a community of assistant attorneys general and law school academics and deans interested in developing courses on state attorneys general and state public law practice, as well as pathways for law students to eventually pursue careers in attorney general offices across the country.

Outreach and Visibility

2020 AWARDS/RECOGNITION



Montana Attorney General Tim Fox

Kelley-Wyman Award

- **Tim Fox**, Montana Attorney General

The Attorney General Senior Staff of the Year Award

- **Loren AliKhan**, solicitor general, District of Columbia Attorney General's Office
- **Christiana Grossi**, chief deputy, Michigan Attorney General's Office
- **Mike Myers**, senior counsel, New York Attorney General's Office

The Attorney General Career Staff of the Year Award

- **Michael Leftwich**, deputy attorney general, Tennessee Attorney General's Office
- **Jenni Peacock**, senior assistant attorney general, Tennessee Attorney General's Office
- **Charles Saunders**, assistant attorney general, Arkansas Attorney General's Office
- **Paul Singer**, senior counsel, Texas Attorney General's Office
- **Barbara Whaley**, assistant attorney general, Kentucky Attorney General's Office

Outstanding NAGTRI Faculty Award

- **Stephanie Caldwell**, assistant attorney general, Nebraska Attorney General's Office

NAGTRI Extra Mile Award

- **Margaret Cotoia**, director, Attorney General's Advocacy Institute, New Jersey Attorney General's Office

NAGTRI Award for Achievement in Research and Written Content

- **Dan Cort**, first deputy commissioner, New York City Department of Investigation and New York Attorney General's Office (Retired)

NAGTRI Innovation in Teaching Award

- **Jack McManus**, deputy city counselor, Columbia City Attorney's Office, Missouri Attorney General's Office (Retired)



Christiana Grossi

Outreach and Visibility

Supreme Court Best Brief Awards

CALIFORNIA: Petition for Certiorari of California et al. in *California v. Texas*, No. 19-840. The authors were Solicitor General Michael J. Mongan, Deputy Solicitors General Samuel P. Siegel and Helen H. Hong, Supervising Deputy Attorney General Kathleen Boergers, Deputy Attorneys General Nimrod Pitsker Elias and Neli N. Palma, and Associate Deputy Solicitor General Amari L. Hammonds.

Brief of Respondents California et al. in *Department of Homeland Security v. Regents of the University of California*, No. 18-587. The authors were Solicitor General Michael J. Mongan, Senior Assistant Attorney General Michael L. Newman, Deputy Solicitors General Samuel P. Siegel and Joshua Patashnik, and Deputy Attorneys General Shubhra Shivpuri and James F. Zahradka II.

NEW YORK: Brief of Respondents New York et al. in *McAleenan v. Batalla Vidal*, No. 18-589. The authors were Solicitor General Barbara D. Underwood, Deputy Solicitor General Anisha S. Dasgupta, Assistant Deputy Solicitor General Andrew W. Amend, and Assistant Solicitor General David S. Frankel.

OKLAHOMA, GEORGIA, AND ARIZONA: Amicus Brief of Oklahoma, et al. in *Espinoza v. Montana Department of Revenue*, No. 18-1195. The authors were, for Oklahoma, Solicitor General Mithun Mansinghani and Assistant Solicitors General Zach West and Bryan Cleveland; for Georgia, Solicitor General Andrew A. Pinson and Assistant Attorney General Kurtis G. Anderson; and for Arizona, Solicitor General O.H. Skinner and Deputy Solicitor General Andrew G. Pappas.

VIRGINIA: Amicus Brief of Virginia et al. in *Trump v. Vance*, No. 19-635. The authors were Solicitor General Toby J. Heytens, Deputy Solicitors General Martine E. Cicconi and Michelle S. Kallen, Assistant Solicitor General Jessica Merry Samuels, John Marshall Fellow Zachary R. Glubiak, and Deputy Attorney General Victoria N. Pearson.

WASHINGTON: Washington's Brief for Respondent in *Chiafalo v. Washington*, No. 19-465. The authors were Solicitor General Noah G. Purcell, Deputy Solicitors General Tera Heintz and Alan D. Copsey, and Assistant Attorney General Cristina Sepe.

NAAG Leadership

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Financials

NAAG Dues—funds NAAG core programs and General and Administrative Expenses

Registration Income—contributes to fund some meeting expenses

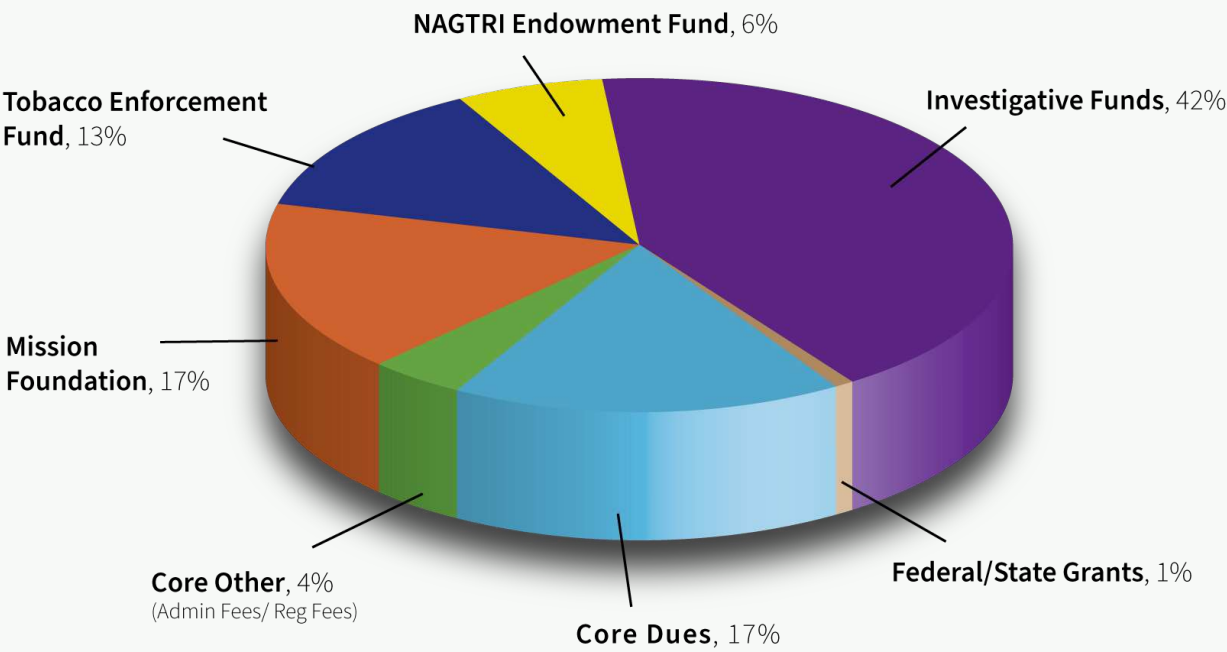
Interest and investment income on Tobacco portfolio—funds a portion of the Tobacco program expenses and Tobacco grants

Interest and investment income on Mission portfolio—provides funding for NAAG annual meetings, NAGTRI trainings, and other meetings

Interest and investment income on NAGTRI Endowment Fund—provides funding for consumer protection conferences, NAGTRI trainings, and staffing

Investigative Funds—fund multistate investigations as described in the settlement agreements

FUNDING SOURCES



Financials

CONSOLIDATED STATEMENTS OF ACTIVITY

As of December 31, 2019 and December 31, 2020

	For the 18 Months Ended in 12/31/2019	For the Year Ended in 12/31/2020
<i>(In Thousands)</i>	Consolidated Total	Consolidated Total
REVENUES		
Dues	\$4,234	\$2,943
Registration fees	1,451	51
Settlement Income	20,214	5,246
Grant Reimbursement - Federal	705	215
Other	722	476
TOTAL REVENUES	27,325	8,931
EXPENSES		
Program Expenses		
Core Programs and Member Support	3,547	2,388
NAGTRI Trainings	2,242	951
Meetings	2,174	145
Grants	641	129
Investigative Funds	7,903	9,068
Center for Tobacco & Public Health	5,197	2,868
Total Program Expenses	21,704	15,550
General and Administration	2,905	2,774
TOTAL EXPENSES	24,610	18,323
NET CHANGE PROGRAMS	2,716	(9,392)
Net Income from Investments	18,024	20,200
Net Income from Building Operations	79	(528)
NET CHANGE OTHER	18,103	19,672
CHANGE IN NET ASSETS	\$20,818	10,280
NET ASSETS		
Beginning	\$202,842	\$223,661
Ending	\$223,661	\$233,941

Financials

CONSOLIDATED STATEMENTS OF FINANCIAL POSITION

As of December 2019 and December 31, 2020

(In Thousands)	12/31/2019			12/31/2020		
	NAAG	Mission Foundation	Consolidated Total	NAAG	Mission Foundation	Consolidated Total
ASSETS						
Cash & Investments						
Cash & Cash Equivalents	\$2,820	\$899	\$3,719	\$6,972	\$414	\$7,386
Investments	130,757	77,546	208,303	131,735	83,893	215,628
Total Cash & Investments	133,576	78,445	212,022	138,708	84,307	223,015
Accounts Receivable & Other						
Accounts Receivable	65	17,225	17,291	(6)	16,732 *	16,726
Due from Other Funds	-	1	1	74	-	74
Prepaid Expenses	76	539	616	65	236	301
Total Accounts Receivable & Other	141	17,766	17,908	133	16,968	17,101
Fixed Assets and Building, Book	74	2,242	2,316	-	2,365	2,365
Accumulated Depreciation	(74)	(637)	(711)	-	(731)	(731)
Fixed Assets, Net	-	1,605	1,605	-	1,633	1,633
TOTAL ASSETS	133,718	97,816	231,534	138,841	102,908	241,749
LIABILITIES						
Accounts Payable	224	804	1,028	3,247	643	3,891
Deferred Revenue	1,967	-	1,967	724	3,120	3,844
Due to Other Funds	1,602	3,275	4,877	-	-	-
Other Liabilities	1	-	1	-	74	74
TOTAL LIABILITIES	3,795	4,079	7,874	3,971	3,838	7,809
NET ASSETS						
Undesignated Net Assets	3,795	48,438*	52,234	4,287	51,183*	55,470
Restricted Net Assets	126,127	45,299	171,426	130,583	47,888	178,471
TOTAL NET ASSETS	129,923	93,737	223,660	134,870	99,071	233,940
TOTAL LIABILITIES AND NET ASSETS	133,718	97,816	231,534	138,841	102,908	241,749

* Note: Mission Foundation includes building transaction



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1850 M Street NW, Twelfth Floor · Washington, DC 20036 · (202) 326-6000
www.naag.org

