

2021 Annual Report

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2021 brought both challenges and opportunities as NAAG established a "new normal" following an unprecedented 2020. While the uncertainty of 2020 did not disappear completely, NAAG's agility and innovation allowed the organization to further expand its service to and support for the attorney general community in 2021. Throughout the year, NAAG staff remained focused on our primary mission of fostering bipartisan engagement and cooperation among attorneys general and their staffs across the legal and law enforcement issues they all share.

Shifting from the necessity of fully online programming, our staff and faculty were extremely excited to serve our members with in-person meetings and trainings. Many of the programs we conducted in 2021 were hybrid,

facilitating participation from those wishing to meet in person as well as those unable to travel. In addition, we built on the extremely successful online trainings developed in 2020 with new hybrid training programs designed to allow participants to do advanced work form their offices before joining their colleagues to complete their training in-person.

Beyond the many successful training opportunities offered by NAAG and its research and training arm the National Attorneys General Training and Research Institute (NAGTRI), NAAG also published several valuable resources to help attorneys general and their staff serve their constituents. Two examples are *The Anticorruption Manual: A Guide for State Prosecutors*, the first publication to address the needs of state and local corruption prosecutors, and a new multistate database featuring comprehensive and searchable information on multistate settlements between attorneys general and private entities from the early 1980s to the present.

Finally, attorneys general also came together under the leadership of NAAG President and District of Columbia Attorney General Karl A. Racine to discuss and address the challenges of hate and hate-related violence nationwide as part of the 2021 presidential initiative "The People v. Hate: Standing Up for Humanity."

While I will be retiring in 2022, I'm confident that NAAG will continue to provide tremendous value and service to our members in the coming years.

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Chris Toth NAAG Executive Director

Knowledge & Skills

NAAG provides high quality, nonpartisan, CLE-eligible training for attorney general offices at meetings and trainings provided by NAAG and its training and research arm, the National Attorneys General Training and Research Institute (NAGTRI). <u>Learn more about training</u> <u>opportunities offered by NAAG and its research and</u> <u>training arm NAGTRI</u>.

In 2021, NAAG conducted 112 programs, delivered in-person, online, or in a hybrid format. Of the programs NAAG conducted, 57 were CLE eligible, resulting in as many as 254 CLE credits available to participants. Additional CLEs were made available to participants that attended mobile trainings at the local level. The number of additional CLEs awarded varied by jurisdiction.

More than 13,000 attorney general staff registered for these programs, which provided a value of more than \$12.5 million to NAAG members.

In addition, through NAAG's partnership with the Practising Law Institute (PLI), attorney general staff had access to free CLE-granting courses. More than 3,000 participants from 34 attorney general offices took advantage of this free PLI training valued at nearly \$11.5 million. 112 programs
13,000
registrations

As many as **254** CLE credits available

Program value \$12.5 million



Knowledge & Skills

In-Person National Trainings

- Anatomy of a Complex Consumer Protection Case
- Trial Advocacy Training for Civil Practitioners
- National Appellate Advocacy Training
- E-Discovery
- Antitrust Seminar
- Cybersecurity Seminar

2021 HIGHLIGHTS: TRAINING

Anatomy of a Complex Consumer Protection Case

In September 2021, NAAG hosted this intensive multi-day program that exposed 56 participants to the wide and varied issues they will encounter when working on complex consumer protection investigations, and enforcement actions. The program included faculty-led presentations on topics like investigations and pre-litigation discovery, ethics of undercover investigations, pretrial motions, and multistate cases, as well as skills sessions on pre-suit investigations, depositions, and negotiations.

Antitrust Seminar

In October 2021, 84 participants attended NAAG's annual antitrust seminar. This three-day program provides training on issues of interest to state antitrust enforcers that are typically not addressed in more general antitrust programs.

Trial Advocacy

In November 2021, NAAG hosted this popular learn-by-doing skills course where 60 participants practiced various trial skills based on a fictional case file and performed a mock trial. The in-person program was preceded by on-demand online lessons and self-work that helped participants prepare for the live session.

Presidential Initiative

2021 NAAG President and District of Columbia Attorney General Karl A. Racine selected "The People v. Hate: Standing Up for Humanity" as his 2021 Presidential Initiative. The initiative included five webinars covering topics such as state efforts to combat hate, citizen militias, and online hate, extremism, and misinformation, as well as an in-person summit in September (see p. 7).

Knowledge & Skills

Online Learning

NAAG published new on-demand courses in its free, members-only online learning library. These courses provide knowledge and skills to help attorney general staff fulfill the responsibilities of their office. New courses added in 2021 cover topics including Insurance in the Time of COVID-19; Anticorruption; Substance Use Treatment; Housing and Student Loan Issues; Understanding Cybercrime, Computers and Cybersecurity; Review of the 2020 Supreme Court Term; and Cybercrime Investigations.

Technology

NAAG provided several training and collaboration opportunities around cybersecurity, cybercrime, and emerging technologies in 2021. Throughout the year, a series of webinars and briefings provided valuable training and information on issues like Section 230 of the Communications Decency Act, cybercrime investigations, facial recognition technology, algorithmic amplification, and the Colonial Pipeline ransomware attack. In addition, as part of the 2021 Presidential Initiative on combatting hate, NAAG supported discussions in the new NAAG Subcommittee on Countering Online Hate, Extremism and Misinformation.

Online National Trainings

- Trial Advocacy
- Charities 101
- Human Trafficking workshop
- Deposition Skills
- Habeas Corpus
- Leadership and Management
- Child Forensic Interviewing
- Bankruptcy Seminar

In December 2021, NAAG hosted a first-of-its kind Cybersecurity Seminar for attorney general staff to learn about the landscape of cybersecurity issues that fall within the jurisdiction of a typical attorney general's office. Attended by 75 in-person and 127 virtual participants, the seminar brought together attorney general staff with cybersecurity experts and other stakeholders for candid discussions about public and private sector network security, including state critical assets, common themes and trends in recent ransomware and other malware attacks, and looming cyberthreats. The training also highlighted tools attorneys general can use to enhance public and private sector cybersecurity, and considerations for hardening attorney general office systems.

8,558 attorney general staff with verified NAAG accounts

16 committees

Through meetings, committees, working groups, and online communities, NAAG facilitates nonpartisan collaboration among attorneys general and their staff on legal and law enforcement issues. In 2021, NAAG hosted 22 online programs and seven in-person or hybrid meetings. In addition, nearly 9,000 attorney general staff had verified NAAG accounts, offering them access to members-only content.

Explore NAAG's committees and upcoming events.

2021 HIGHLIGHTS: MEETINGS

Capital Forum

NAAG hosted its annual Capital Forum in-person and online in December. This event allows state and territory attorneys general to engage with federal officials, discuss important policy issues, and learn about the latest state and federal legal developments. In addition, Capital Forum featured General Racine's presidential reception and NAAG's annual awards dinner.

The event included a conversation on antitrust issues with Jonathan Kanter, assistant

attorney general, United States Department of Justice Antitrust Division, and Lina M. Khan, chair, Federal Trade Commission, and discussion of civil rights issues with Kristen Clarke, assistant attorney general for civil rights, U.S. Department of Justice. Other sessions covered a variety of topics of interest to the attorney general community, such as ethical approaches to reduce cyber risk and manage cyber incidents, federalism in regulatory decision-making, reentering citizens, and the prosecution of the George Floyd murder case.

View videos from 2021 Capital Forum.



Southern Region Meeting on Sexual and Domestic Violence

NAAG returned to in-person meetings in August at the Southern Region Meeting in Richmond. Hosted by Virginia Attorney General and NAAG Southern Region Chair Mark Herring, this conference, which was also hosted virtually, addressed how attorney general offices can combat sexual and domestic violence, including prevention, prosecution, and support for victims. The meeting showcased work done by attorneys general throughout the southern region, both on their own and with partner organizations.

View videos from the 2021 Southern Region Meeting.

Presidential Summit

In September 2021, NAAG hosted a two-day presidential summit entitled "Taking the Politics Out of Hate." The Summit, which was hosted in Washington, D.C. and virtually, brought together attorneys general, law enforcement officers, government officials, advocates, subject matter experts, and private sector leaders to discuss bipartisan solutions to raise awareness about hate and related violence, prevent hate from taking root in our communities, and support those who have experienced hate. The event featured historical case studies highlighting the importance of uniting to address hate and panels discussing solutions that can result from partnering with communities and building innovative law enforcement approaches to address hate.

View videos from the 2021 Presidential Summit.





Eastern Region Meeting on the Surveillance Economy

In October, the NAAG Eastern Region Meeting took place in Burlington, Vermont and online. Hosted by Vermont Attorney General and NAAG Eastern Region Chair TJ Donovan, this conference addressed how attorney general offices can balance the many complex issues presented by rapid advances in technology, including the collision of legal ideals and technologies, how to protect individual privacy and autonomy in the surveillance economy, and legislative enactments to protect privacy in the digital age.

View videos from the 2021 Eastern Region Meeting.

Consumer Protection Conferences

NAAG hosted two consumer protection conferences in 2021. The Spring Conference, hosted virtually with more than 400 attendees, included remarks from leaders of the Consumer Financial Protection Bureau, Federal Communications Commission, the Federal Trade Commission, and the U.S. Senate Subcommittee on Consumer Protection, Product Safety, and Data Security. The Fall Conference, the first in-person NAAG consumer conference in two years, welcomed 350 attendees in Washington, D.C. and virtually. The conference included a panel of seven attorneys general speaking about consumer protection issues and priorities.

In October, the 2021 NAAG/NASCO Conference was held virtually. The conference is the only annual event at which charity regulators and nonprofit organizations and their attorneys, accountants, fundraisers, and advisers have the opportunity to meet, hear about, and discuss issues of interest to the charitable sector. Held over two days, with one day limited to attorney general staff, NASCO members, and other government regulators, the conference attracted more than 300 virtual attendees.

In addition, NAAG hosted a virtual Robocall Summit with the Michigan and Ohio attorneys general, where over 200 attendees learned about the robocalling business ecosystem, the industry and government responses to robocalls, and how to make those responses more effective.

2021 HIGHLIGHTS: COMMITTEES

NAAG's standing and special committees offer attorneys general opportunities to collaborate with their colleagues and staff on legal and law enforcement issues.

In 2021, NAAG launched new online hubs for Consumer Protection, Military and Veterans, and Charities. These members-only online portals include information and resources on a broad range of topics and offer attorney general staff opportunities to share information with their colleagues in other offices.

Founded over 40 years ago, the Executive Working Group on Prosecutorial Relations (EWG) is composed of six voting members from NAAG, the National District Attorneys Association, and the U.S. Department of Justice. After a pandemic-related hiatus, EWG had a hybrid meeting at the U.S. Department of Justice in September 2021. The discussions included violent crime and body-worn cameras, and the attorneys general and district attorneys had the opportunity to meet and speak with U.S. Attorney General Merrick Garland and Deputy Attorney General Lisa Monaco.

In 2021, the NAAG Human Trafficking Committee, with the support from the NAGTRI Center for International Partnerships and Strategic Collaboration (CIPS-C), organized a national virtual convening for practitioners in attorney general offices to connect with colleagues throughout the country and discuss the unique challenges posed during the COVID-19 pandemic. In addition, the Human Trafficking Committee organized and participated in a panel at the JuST Conference hosted by Shared Hope. Staff from the District of Columbia and Mississippi Attorney General Offices served on the panel, Combating Human Trafficking and Preventing Victim Criminalization, which shared the work of state attorney general offices in fighting human trafficking, their implementation of protective responses for survivors, and the legislative and enforcement approaches to prevent the criminalization of victims of human trafficking.

Specialized Services & Consulting

NAAG provides training, consulting, and substantive legal assistance to attorney general offices on matters related to the Tobacco Master Settlement Agreement, U.S. Supreme Court, antitrust, bankruptcy, and consumer protection.

SUPREME COURT ADVOCACY

20 moot courts
18 Supreme Court
briefs edited

Through the NAAG Center for Supreme Court Advocacy, attorneys general and their staff can obtain moot courts, edits of briefs, and other assistance as they represent their jurisdictions before the nation's highest court. <u>Discover how</u> <u>the NAAG Supreme Court Center supports</u> <u>attorneys general in their work with the U.S.</u> <u>Supreme Court</u>.

In 2021, the NAAG Center for Supreme Court Advocacy conducted 20 moot courts for U.S. Supreme Court arguments. In addition, counsel for the Supreme Court Center edited 18 state briefs filed in the Supreme Court.

In September, NAAG hosted the annual State Solicitors General and Appellate Chiefs Conference in Chicago. The conference, which was attended by 59 participants, provided a forum in which state solicitors general and appellate chiefs can exchange ideas and obtain information that will help improve each state's appellate practice.

In addition, NAAG Supreme Court counsel published 19 issues of the Supreme Court Report, which summarizes opinions issued and cases granted review by the Court, as well as dozens of Supreme Court Action updates.

Specialized Services & Consulting

TOBACCO LEGAL SERVICES

The NAAG Center for Tobacco and Public Health provides attorneys general with legal advice and representation on matters relating to tobacco and nicotine. Specifically, the NAAG Tobacco Center supports states' implementation and enforcement of the Tobacco Master Settlement Agreement (MSA). Learn more about the work of the NAAG Tobacco <u>Center</u>.

\$6.6 billion

in MSA payments

In 2021, the Tobacco Center worked closely with PwC and Citibank to secure the \$6.6 billion MSA payment during the

continued market uncertainty in April and to ensure its smooth distribution. Counsel from the NAAG Tobacco Center also represented the Settling States in the first "Released Claim" settlement with a Non-Participating Manufacturer resulting in \$96 million paid to nine states, as well as in four tobacco company bankruptcy cases.

The Tobacco Center organized and hosted the first hybrid Tobacco Issues Seminar, as well as an online training for new tobacco personnel, and an online Tobacco Policy and Responsible Retailing conference.

Finally, the Tobacco Center facilitated applications for, and oversaw, Tobacco Enforcement Fund grants totaling \$3.505 million for use by the Settling States in investigating and enforcing against potential violations by JUUL and other e-cigarette companies, protecting the States' rights in bankruptcies, and defending their own diligence.

Specialized Services & Consulting

CONSUMER PROTECTION

In addition to the many conferences and trainings described earlier (see pg. 8), the NAGTRI Center for Consumer Protection (CCP) launched a new database of consumer protection actions by attorneys general in 2021. The database, which is accessible only to attorney general staff, contains very detailed information for each matter and is meant as a resource for consumer protection divisions in member offices.

CCP also coordinates briefings and calls for attorney general staff throughout the year. For example, in December, CCP organized a briefing attended by 75 attorney general staff on federal COVID relief fraud with presenters from the U.S. Department of Health and Human Services, Office of Inspector General; U.S. Department of Justice National Unemployment Insurance Fraud Task Force; and U.S. Department of Treasury, Office of Inspector General.

ETHICS AND PUBLIC INTEGRITY

To promote the *The Anticorruption Manual: A Guide for State Prosecutors*, the NAGTRI Center for Ethics & Public Integrity (CEPI) conducted a two-part CLE-eligible webinar series about the manual. In addition, in December CEPI launched a five-session CLE-eligible webinar series with the DC Bar and gave presentations about the manual to the FACT Coalition and the Georgetown Project on State and Local Government Policy and Law.

FORENSICS

NAAG is part of a team that was awarded a three-year, \$2 million grant to develop and administer the National Institutes of Justice (NIJ) National Center on Forensics (NCF). Led by the George Mason University Forensic Science Program, the team also includes Montana's Forensic Science Division, which is overseen by the Montana Attorney General's Office, and the American Society for Clinical Pathology.

NAAG is leading one of the NCF's two primary missions: forensic science training for prosecutors, judges, and law enforcement. Other team members will focus on increasing the number of medical examiners in underserved, rural areas. The NIJ is working directly with NAAG's team to develop the NCF, create trainings, and work with medical schools and others in an effort to increase the number of medical examiners. In 2021, the NCF completed an in-depth needs assessment to determine areas in which training and resources were needed. In addition, the NCF engaged in outreach, including through presentations to lab counsel groups, pathology groups, and others.

Specialized Services & Consulting

BANKRUPTCY

NAAG Bankruptcy Counsel represented about 30 states in a health care fraud case that resulted in the case being resolved favorably and then helped states to pull in a second debtor that was also involved in the fraud.

Over five sessions in October and November, NAAG hosted a virtual Bankruptcy Seminar, featuring many prominent speakers including the presiding judge in Purdue Pharma, for approximately 275 attendees. In addition, NAAG bankruptcy counsel led webinars on bankruptcy-related topics and developed an on-demand online Introduction to Bankruptcy course, covering topics such as automatic stay, claims, discharge, and operations during a bankruptcy case.

WELLNESS

In 2021, the NAGTRI Center for Leadership Development launched <u>a webpage with wellness</u> <u>resources</u> for attorney general staff. This new page includes links to webinars as well as information on topics such as legal professional wellness, mental health and addiction, time management, mindfulness, emotional intelligence, and generational diversity.



National Association of Medicaid Fraud Control Units

In 2021, the National Association of Medicaid Fraud Control Units (NAMFCU) continued to focus on resolving global cases and providing professional virtual training to Medicaid fraud control unit staff. This fiscal year, 9 global cases were resolved with an estimated \$307.71 million recovered for the Medicaid program. The largest resolved case during the fiscal year was the Indivior settlement, which recovered approximately \$203 million for the Medicaid program.

NAMFCU continued to host virtual trainings for MFCU staff this year. NAMFCU held 22 webinars, which covered a variety of relevant Medicaid fraud topics. The largest virtual program was a three-day event with approximately 930 attendees. A total of approximately 4,317 MFCU staff were trained at these web-based programs in 2021.

Support for Investigations & Multistate Cases

NAAG facilitates collaboration among the attorney general community related to multistate cases and supports <u>committees that provide grant funding to attorney general offices</u> for expenses related to investigation and prosecution of cases. In 2021, attorney general offices received millions of dollars in grant funding to support consumer protection multistate matters and hundreds of thousands of dollars in grant funding to support antitrust enforcement. In addition, NAAG supports the consumer protection investigators' working group, which holds monthly calls to share information about emergent issues and best investigative practices.

A SOCIATION OF ASSOCIATION ASSOCIATION OF ASSOCIATION ASSOCI

ELECTRONIC DOCUMENT REVIEW PLATFORM

Using funding from the Volkswagen Settlement Fund, NAAG provides members access to an Electronic Document Review Platform, through a contract with Everlaw, for consumer protection single state and multistate matters. Use of the platform has increased substantially in 2021, with 36 AGOs and 28 multistates having been approved to use the platform.

Opportunities to Share Expertise & Legal Knowledge

Attorneys general and their staff can have their work published in the *Attorney General Journal*, NAAG's online publication, and serve as faculty or presenters at events and trainings for the legal and policy communities.

WRITING FOR THE ATTORNEY GENERAL JOURNAL

The *Attorney General Journal*, NAAG's online publication, features articles providing updates from the attorney general community and legal analysis on issues and cases relevant to NAAG's members. Writing for the *Attorney General Journal* offers a chance for attorneys general and their staff to share their legal knowledge and experience with readers in the attorney general community, government lawyers, and audiences, while also raising the profile of the excellent work done in attorney general offices.

In 2021, several attorneys general and attorney general staff had articles published in the Attorney General Journal. Some examples include:

- "Supporting Charities in the Time of COVID: The View From the New York Office of the Attorney <u>General</u>" by New York Attorney General Letitia James
- "<u>The Relentless Battle to Eliminate Robocalls</u>" by Michigan Attorney General Dana Nessel and Ohio Attorney General Dave Yost
- "Debunking the Privacy Fallacies" by Ryan Kriger, assistant attorney general, Public Protection Division, Vermont Attorney General's Office
- "<u>The Public Service Loan Forgiveness Program and the Limited Waiver Opportunity</u>" by Michele Casey, assistant attorney general, Illinois Attorney General's Office; Greg Jones, assistant attorney general, Illinois Attorney General's Office; Alan Williams, assistant attorney general, Illinois Attorney General's Office
- "Risk-Based Pricing and the Role of State Attorneys General in Enforcement" by Kate Donoven, senior assistant attorney general, Arkansas Attorney General's Office
- "The Impact of Recent Tax Law Changes on Consumer Protection Settlements" by Jared Libet, assistant deputy attorney general, South Carolina Attorney General's Office

Learn how you or your staff can have your work published in the Attorney General Journal.

Opportunities to Share Expertise & Legal Knowledge

TRAINING FACULTY

NAGTRI trainings are primarily taught and supported through the time and commitment of volunteer staff from attorney general offices across the country. In 2021, more than 70 individuals from attorney general offices served as faculty for online and in-person NAGTRI trainings.

Find out how you or your staff can serve as faculty for NAGTRI trainings.





Policy Leadership & Influence

Attorneys general often collaborate to advance policies that serve the country and their constituents through policy letters, engagement with federal policymakers, and public advocacy efforts that NAAG facilitates.

In 2021, attorneys general came together on 17 policy letters reflecting their bipartisan policy priorities. Policy letters addressed such important issues as:

- Instagram for Kids
- Online sale of fraudulent vaccine cards
- Robocalls
- Social media and mental health
- Antitrust and bankruptcy venue reform
- Human trafficking and sexual abuse

17 policy letters
5 testimony opportunities
130 calls and meetings

In 2021, victories were achieved on several policies previously supported by NAAG members, including:

- Passage of the VOCA Fix Act, which was signed into law in July and resulted in more than \$270 million being deposited into the Crime Victims Fund just two months after being implemented.
- The FCC announced it was shortening the deadline for small telephone providers to implement STIR/SHAKEN technology by 12 months to June 30, 2022, following an August 2021 NAAG policy letter.
- The U.S. Department of Health and Human Services loosened training requirements for prescribing buprenorphine, as called for in an August 2019 policy letter.
- The Veterans Administration launched the Claim Accuracy Report pilot program to replace the VSO 48 Hour Rule in June 2021.

Policy Leadership & Influence

Finally, NAAG continued its engagement with federal policy leaders in 2021. NAAG facilitated testimony opportunities for three attorneys general and two solicitors general before House and Senate committees and coordinated more than 130 calls and meetings with Congressional offices advocating for positions supported by NAAG policy letters. Meetings were held with, among others:

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- Deputy National Security Advisor for Cyber Anne Neuberger
- Consumer Financial Protection Bureau Director Rohit Chopra
- Assistant Secretary for Mental Health and Substance Use Miriam Delphin-Rittmon
- Senate Judiciary Committee Chairman Richard Durbin
- Second Gentleman Doug Emhoff



NAAG 🤣 @NatlAssnAttysGn

Yesterday, a bipartisan group of AGs joined @JulieCR46 and @WHNSC Anne Neuberger to discuss how AGs can work with federal partners to combat emerging cybersecurity threats. Thank you to @WhiteHouse for hosting this important discussion.



Resources to Help Serve Constituents

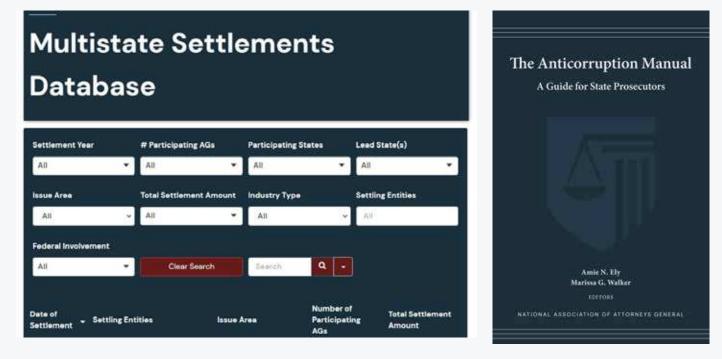
NAAG publications, research, and resources provide best practices and facilitate information sharing among attorney general offices.

In 2021, NAAG launched a searchable <u>database of information regarding multistate</u> <u>settlements</u> between attorneys general and private entities from the early 1980s to the present. The database is searchable by various categories and includes PDFs of settlement documents, when available.

In August, NAAG released <u>*The Anticorruption Manual: A Guide for State Prosecutors.*</u>. The manual provides an overview of prosecuting corruption in the United States and is the first publication in 30 years dedicated to guiding prosecutors who investigate and charge public corruption crime. It is also the first of its kind to address the needs of state and local corruption prosecutors.

In the wake of the Colonial Pipeline and other crippling ransomware attacks, NAAG created a <u>ransomware resource page</u> to aid attorney general offices and the public in understanding, preventing, and combatting the ransomware threat.

As part of General Racine's presidential initiative, NAAG collected and curated a new online <u>Hate Crimes Resource Page</u>, which contains information on the authorities and actions of attorneys general to respond to hate and bias-motivated crimes and incidents, relevant legislation, and resources to assist state and local law enforcement and government officials prevent and respond to hate.



Promotion of Shared Priorities & Increased Public Awareness

6 published op-eds

3,055 Twitter followers (18% increase since 2020)

19 attorneys general featured in podcast episodes

NAAG raises the national profile of attorneys general with key audiences via its website, podcast, social media, and media outreach. Explore NAAG news and resources.

In 2021, NAAG focused on increasing public awareness of the bipartisan efforts and shared policy priorities of attorneys general. NAAG successfully placed six op-eds co-authored by attorneys general in support of shared policy priorities in major national and policyfocused outlets, including USA Today, Roll Call, The Hill, and Morning Consult. In addition, NAAG secured international, national, and local media attention for stories related to Instagram for Kids and fake vaccine cards.

Following the successful launch of the first season of <u>The People's Lawyer podcast</u> in 2020, NAAG featured 19 attorneys general in 12 new episodes in the podcast's second season. Most episodes featured interviews with bipartisan pairs of attorneys general on topics such as consumer protection, veterans, healthcare, human trafficking, e-cigarettes, and the opioid epidemic.

On consumer protection issues, NAAG published the final installment of the *Parental Controls: Keeping*

<u>Children Safe Online series</u>. In addition, the NAGTRI Center for Consumer Protection continued to provide relevant information on consumer protection issues and promoted attorney general offices' consumer protection complaint contact information via <u>ConsumerResources.org</u>, NAAG's consumer-focused website.

International Engagement

NAAG offers attorney general staff opportunities to engage with international peers and domestic and international partners in the legal and law enforcement communities to promote collaboration on challenging global issues facing prosecutors and civil servants.

In 2021, the NAGTRI Center for International Partnerships and Strategic Collaboration (CIPS-C) partnered with the International Association of Prosecutors (IAP) and the United Nations Educational, Scientific and Cultural Organization (UNESCO) to provide support for the development of guidelines and <u>training on protecting journalists worldwide</u>.

CIPS-C also partnered with the Investigative Team to Promote Accountability for Crimes Committed by Da'esh/ISIL (UNITAD) to develop and deliver a nine-module child forensic interview training course for prosecutors and investigators working on international war crimes. Staff from the Arizona, Colorado, Connecticut, and Florida Attorney General Offices participated in this training.

In the area of human trafficking, NAAG partnered for the second time with IAP, AEquitas, Organization for Security and Co-operation in Europe (OSCE), and International Justice Mission (IJM) to conduct a global webinar series to equip investigators and prosecutors with knowledge and skills to better understand and apply victim-centered, trauma-informed, and gender-sensitive approaches to human trafficking.

CIPS-C also worked with the U.S. State Department, Bureau of International Narcotics and Law Enforcement Affairs (INL) Counter Trafficking in Persons to conduct a human trafficking training for the attorney general office in Montenegro. Staff from the Massachusetts and Utah Attorney General Offices participated in this training.

Finally, CIPS-C partnered with IJM to train prosecutors and investigators from the Ibero-American region on the proper care for victims of violence against women & children with a victim-centered approach. Staff from the California and Colorado Attorney General Offices participated in this training.

2021 Awards

Kelley-Wyman Award

Tennessee Attorney General Herbert H. Slatery III



Attorney General Senior Staff of the Year Award

Jonathan Blanton, deputy attorney general for major litigation, Ohio Attorney General's Office

Attorney General Senior Staff of the Year Award

Jonathan Garelick, chief of staff, New Jersey Attorney General's Office





2021 Awards

Attorney General Career Staff of the Year Award

Patrick McCormally, assistant attorney general, Maryland Attorney General's Office (awarded posthumously)



Attorney General Career Staff of the Year Award

Daniel Mosteller, deputy general counsel, North Carolina Attorney General's Office



NAGTRI Faculty of the Year Award

Liza Tran, division chief, Trial Division, Massachusetts Attorney General's Office

NAAG Leadership

2021 NAAG EXECUTIVE COMMITTEE

Attorney General **Karl A. Racine** (D.C.), President Attorney General **Tom Miller** (Iowa), President-Elect Attorney General **Josh Stein** (N.C.), Vice President Attorney General **Jeff Landry** (La.), Immediate Past President Attorney General **TJ Donovan** (Vt.), Chair, Eastern Region Attorney General **Dave Yost** (Ohio), Chair, Midwestern Region Attorney General **Mark Herring** (Va.), Chair, Southern Region Attorney General **Phil Weiser** (Colo.), Chair, Western Region Attorney General **Lawrence Wasden** (Idaho), Chair, Mission Foundation Attorney General **Kathy Jennings** (Del.), Presidential Appointment Attorney General **Leevin T. Camacho** (Guam), Presidential Appointment

2021 NAAG FINANCE COMMITTEE

Attorney General **Karl A. Racine** (D.C.), Co-Chair Attorney General **Herbert H. Slatery III** (Tenn.), Co-Chair Attorney General **William Tong** (Conn.) Attorney General **Wayne Stenehjem** (N.D.)

Financials

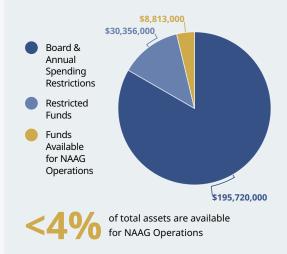
NAAG is the steward of several funds, the use of which is limited by previous court settlements and Board restrictions. NAAG administers these funds on behalf of its members and holds them separately from NAAG operating accounts. Nearly 97% of these funds cannot be used to fund NAAG operations.

As of December 31, 2020, total net assets were \$234 million. Only \$8.8 million (less than 4%) of the \$234 million in restricted and semi-restricted monies were accessible to fund NAAG operations. However, pandemic-related uncertainty continued to cause scheduling challenges and only \$7.1 million of these funds were actually used in 2021. The rest of NAAG's \$12.1 million annual operating expenses were funded by \$3 million from the annual dues paid by members and \$2.0 million from fees and conference registrations.

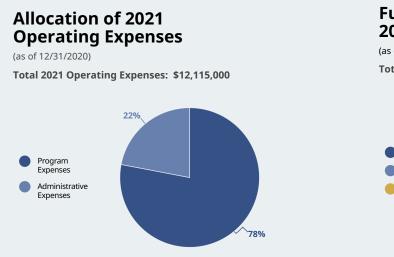
Total Assets under NAAG Management

(as of 12/31/2020)

Total Assets: \$234,889,000

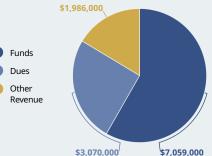


Importantly, 78% of NAAG's annual operating expenses were dedicated to paying for the programs the organization runs every year. These education and training programs help to ensure that state attorneys general and their teams will continue to have the resources and capabilities to give the citizens in their states the best representation possible. The remaining 22% of the annual operating expenses cover overhead, including rent, administrative salaries, benefits, and other non-programmatic expenses.



Funding Sources for 2021 Operations

(as of 12/31/2020) Total 2021 Operating Expenses: \$12,115,000



Financials

CONSOLIDATED STATEMENTS OF FINANCIAL POSITION

As of December 2020 and December 31, 2021

		12/31/2020			12/31/2021 (unaudited)	
(In Thousands)	NAAG	Mission Foundation	Consolidated Total	NAAG	Mission Foundation	Consolidated Total
ASSETS						
Unrestricted Cash & Investments						
Cash & Cash Equivalents	\$1,571	\$414	\$1,985	\$1,361	\$1,075	\$2,436
Investments	3,600	3,616	7,216	3,774	3,855	7,629
Total Unrestricted Cash & Investments	5,171	4,030	9,201	5,135	4,930	10,065
Accounts Receivable & Other	1,309	16,966	18,005	768	303	1,071
Fixed Assets, Net	-	1,633	1,633	-	1,402	1,402
Restricted Cash & Investments	133,834	81,484	215,318	158,667	108,891	267,559
TOTAL ASSETS	140,044	104,113	244,157	164,570	115,526	280,096
LIABILITIES						
Accounts Payable	732	80	811	1,486	388	1,874
Other Liabilities	4,869	3,768	8,456	1,717	2,935	4,652
TOTAL LIABILITIES	5,420	3,847	9,268	3,203	3,323	6,526
NET ASSETS						
Unrestricted Net Assets, Reserve	3,880	3,616	7,496	4,330	3,855	8,185
Restricted Net Assets	130,743	96,650	227,393	157,037	108,347	265,385
TOTAL NET ASSETS	134,624	100,266	234,889	161,367	112,203	273,570
TOTAL LIABILITIES AND NET ASSETS	\$140,044	\$104,113	\$244,157	\$164,570	\$115,526	\$280,096

Financials

CONSOLIDATED STATEMENTS OF ACTIVITY

As of December 31, 2020 and December 31, 2021

	For the Year Ended in 12/31/2020	For the Year Ended in 12/31/2021 (unaudited)	
(In Thousands)	Consolidated Total	Consolidated Total	
REVENUES			
Unrestricted Income			
Dues	\$2,943	\$3,070	
Registration fees	60	379	
Interest Income	257	209	
Administrative Fees/ICR/Other	1,398	1,398	
Restricted Income			
Settlement Income	4,976	19,299	
Grant Reimbursement/Other	256	519	
TOTAL REVENUES	9,891	24,875	
EXPENSES			
Program Expenses			
NAAG Programs	4,389	5,012	
AG Meetings, NAGTRI, Member Support	1,400	2,463	
Center for Tobacco & Public Health	2,867	2,782	
Restricted: Investigative Funds	8,667	6,333	
Restricted: Grants	129	220	
Total Program Expenses	17,902	16,810	
General and Administration	1,929	1,882	
TOTAL EXPENSES	19,831	18,692	
NET CHANGE PROGRAMS	(9,940)	6,183	
Net Gain/(Loss) - Restricted Investments	21,698	32,999	
Rent/Building Operations	(528)	(501)	
NET CHANGE OTHER	21,170	32,498	
CHANGE IN NET ASSETS	\$11,229	\$38,681	
NET ASSETS			
Beginning	\$223,660	\$234,889	
Ending	\$234,889	\$273,570	



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